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慢性阻塞性肺疾病与冠心病共病研究进展

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【摘要】 慢性阻塞性肺疾病(COPD)与冠心病均是严重危害人类健康的常见慢性病且二者关系密切。研究表明, COPD患者发生冠心病的风险是非COPD患者的1.24倍, 而冠心病患者COPD发病率为18%~41%, 与单纯COPD或单纯冠心病患者相比, COPD与冠心病共病患者预后更差。本文主要综述了COPD与冠心病共病的危险因素、发病机制、预防及治疗, 旨在提高临床医生对COPD与冠心病共病的诊疗水平。

【关键词】 慢性阻塞性肺疾病; 冠心病; 共病; 综述

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Research Progress on Comorbidity of Chronic Obstructive Pulmonary Disease and Coronary Heart Disease LIU Hongru^{1, 2}, WU Dongmin^{1, 2}, LI Na^{1, 2}, WANG Linxia^{1, 2}, WANG Yaoyong^{1, 2}

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【Abstract】 Chronic obstructive pulmonary disease (COPD) and coronary heart disease are common chronic diseases that seriously endanger human health and are closely related. Studies have shown that the risk of coronary heart disease in COPD patients is 1.24 times than that of non-COPD patients, and the incidence of COPD in patients with coronary heart disease is 18%–41%. Compared with patients with simple COPD or simple coronary heart disease, the prognosis of patients with comorbidity of COPD and coronary heart disease is worse. This article reviews the risk factors, pathogenesis, prevention, and treatment of comorbidity of COPD and coronary heart disease, in order to improve the diagnosis and treatment of COPD and coronary heart disease by clinicians.

【Key words】 Chronic obstructive pulmonary disease; Coronary heart disease; Comorbidity; Review

慢性阻塞性肺疾病(chronic obstructive pulmonary disease, COPD)是一种以进行性不可逆气流阻塞为特征的肺部疾病^[1]。在我国, COPD患者总数约1亿, 40岁以上人群患病率为13.7%^[2]。据世界卫生组织预测, 到2040年COPD将成为导致人类过早死亡的第四大原因^[3]。2013年, 第五次国家卫生服务调查显示, 60岁以上人群冠心病患病率为27.8‰, 且近年来有不断升高趋势^[4]。Meta分析结果显示, COPD患者发生冠心病的风险是非COPD患者的1.24倍^[5], 而冠心病患者COPD发病率为18%~41%^[6], 提示COPD与冠心病之间有着密不可分的联系。研究表明, 与单纯COPD或单纯冠心病患者相比, COPD与冠心病共病患者预后更差, 其不良事件发生风险及因再发心肌梗死、心力衰竭、冠状动脉血运重建、慢性阻塞性肺疾病急性加重(acute exacerbation of chronic obstructive pulmonary disease, AECOPD)再入院的风险明显升高^[7]。本文主要综述了COPD与冠心病共病的危险因素、发

病机制、预防及治疗, 旨在提高临床医生对COPD与冠心病共病的诊疗水平。

1 COPD与冠心病共病的危险因素

COPD和冠心病有多个共同危险因素, 如吸烟、衰老和久坐, 其中吸烟的危害最大^[1]。研究表明, 吸烟的冠心病患者COPD患病率高达19.7%^[8]。烟雾和其他吸入性有害颗粒是肺和动脉壁炎症反应的危险因素, 而肺和动脉壁持续发生炎症反应可导致慢性气道阻塞, 促进动脉粥样硬化及冠状动脉斑块不稳定, 进而引发COPD和冠心病^[1]。有高质量Meta分析结果显示, 戒烟可有效降低COPD和冠心病患者的发病率及死亡率^[9]。但目前大多数冠心病患者入院后仍继续吸烟, 而COPD患者戒烟的概率也较小, 故强化戒烟应成为COPD或冠心病的干预目标^[10]。

2 COPD与冠心病共病的发病机制

2.1 缺氧 COPD患者进行性气流受限导致的肺通气/血流比例失调可进一步促进低氧血症的发生发展^[11], 而低氧血症又会导致肺血管收缩、重构, 进而使右心室舒张功能障碍, 促进冠心病的发生^[12]; 此外, 慢性缺氧引起的血管紧张素-肾素系统过度激活和内皮功能障碍也被认为是COPD与冠心病共病的病理生理学基础^[6]。AECOPD患者常伴有不同程度的血氧分

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压降低, 而血氧分压降低不仅可以通过兴奋主动脉体感受器、颈动脉体感受器及刺激肺牵张感受器而引起心率增快, 还可以直接兴奋交感神经、增加心肌收缩力, 进而造成心肌耗氧增加、氧供需失衡, 最终可能诱发急性心肌梗死^[13]。

2.2 肺过度膨胀 研究表明, 肺过度膨胀可能是以肺气肿为主的COPD患者罹患冠心病的关键因素^[14]。肺过度膨胀的发病特征是自发呼气后肺残气量异常增高, 从而使胸膜压力降低、左右心室壁张力增高, 进而导致交感神经高度紧张, 这是呼吸力学改变的主要病理生理机制^[15]。此外, 肺过度膨胀引发的气流限制还可加重肺通气/血流比例失调、肺毛细血管床减少、肺动脉高压, 进而导致右心负荷加重、右心室扩大, 甚至引发左心室充盈受损, 导致心排血量减少^[15]。CHANDRA等^[16]研究发现, 在吸烟者中, 肺过度膨胀与临床冠心病和亚临床冠心病密切相关。

2.3 全身炎症反应 COPD和冠心病同时发生与全身炎症反应有关^[17]。COPD可导致全身炎症反应, 或炎症递质从肺部溢出到体循环, 进而导致冠状动脉粥样硬化^[18]。研究表明, 在COPD患者中, 冠状动脉粥样硬化程度与全身和肺部炎症标志物〔如白介素(interleukin, IL)-5、IL-6、IL-8、表面活性蛋白D和外周血中性粒细胞计数〕相关^[19]。血管内皮细胞氧化损伤可影响调节血管张力的内皮源性血管活性物质(如一氧化氮)的表达, 从而促进血管功能障碍, 这是COPD合并冠心病的关键驱动因素^[20]。C反应蛋白是慢性炎症性疾病的“前哨”生物标志物, 也是血管损伤后机体释放的一种急性期蛋白, 其可以刺激IL-6和内皮素1的生成^[21], 其中IL-6又可促进动脉粥样硬化斑块形成^[22], 与COPD与冠心病共病患者心血管结局密切相关^[21, 23]。

2.4 血脂异常 HDL-C被认为对动脉粥样硬化血管具有保护作用, 但有流行病学研究表明, 伴有高水平HDL-C的冠心病患者死亡率并未降低^[24]。HDL-C的主要成分有载脂蛋白(apolipoprotein, Apo)A I(约占70%)、ApoA II(约占20%), 且两者关系密切^[25]。ApoA I具有强大的促胆固醇逆转运、抗氧化损伤及心血管保护作用; ApoA II也具有促炎症反应、促胆固醇逆转运、促胰岛素抵抗、促肥胖等作用, 此外其还可以通过抑制脂蛋白酯酶而干扰三酰甘油代谢等^[26]。血清淀粉样蛋白A(serum amyloid A, SAA)作为Apo参与了HDL的构成, 生理状态下, 其几乎不存在, 但急性炎症期其水平急剧上升, 且伴随ApoA I、ApoA II水平降低^[27]。既往研究表明, SAA升高是冠心病的独立危险因素^[28], 其机制可能为高水平SAA通过增加C反应蛋白(C-reactive protein, CRP)、纤维蛋白原、IL-6水平或降低HDL-C水平而在冠心病中发挥作用^[29]。笔者所在研究团队发现, AECOPD患者的HDL-C成分发生了剧烈变化, 即ApoA I、ApoA II水平降低及SAA水平剧烈升高, 该现象在动物实验中已得到证实^[26, 30-31], 提示血脂异常或HDL-C亚组分分布异常可能是COPD患者并发冠心病的关键因素。

2.5 遗传 近年来, COPD与冠心病共病的遗传学研究逐渐被重视。一项关于COPD与冠心病的大规模全基因组关联研究表明, 冠心病与COPD在全基因组水平上存在边缘证据, COPD

3个基因位点与冠心病相关, 提示COPD与冠心病基因易感性有关^[32]。SABATER-LLEAL等^[33]通过分析人肺功能相关基因单核苷酸多态性(single nucleotide polymorphism, SNP)发现, CFDP1基因的rs2865531位点和KCNE2基因的rs9978142位点与冠心病相关, HTR4基因的rs9978142位点和rs3995090位点与颈动脉内膜中层厚度相关。目前, COPD与冠心病共病的遗传学研究证据较少, 还需要更多研究支持。

3 COPD与冠心病共病的预防

研究表明, 在COPD患者中, 心血管危险因素很常见, 但监测不足、治疗不足, 故需要针对心血管危险因素进行综合管理, 以降低冠心病发病率和死亡率^[34]。MORGAN等^[35]认为, 65岁以下及中重度COPD患者应积极评估冠心病发生风险。也有学者提出, 应构建COPD患者发生冠心病的风险预测模型^[36-37], 进而早期筛选并及时干预伴有高冠心病发生风险的COPD患者。但人们对COPD与冠心病共病早期诊断及预防的重视程度不足, 尚需要进一步宣教。

4 COPD与冠心病共病的治疗

COPD与冠心病共病的主要治疗方法包括药物治疗、介入治疗、康复训练, 其中药物治疗的研究进展较多。

4.1 药物治疗 药物是COPD及冠心病的主要治疗方法, 其中糖皮质激素、他汀类药物、血管紧张素转换酶抑制剂(angiotensin converting enzyme inhibitor, ACEI)、血管紧张素Ⅱ受体阻滞剂(angiotensin Ⅱ receptor blocker, ARB)治疗COPD与冠心病共病已大致达成共识, β -受体激动剂及 β -受体阻滞剂由于不良反应而在临床用药中尚未明确。

4.1.1 糖皮质激素 吸入性糖皮质激素(inhaled corticosteroid, ICS)具有强大的抗炎作用, 其可以改善COPD患者临床症状, 提高患者生活质量, 减少COPD急性加重次数^[38]。与口服或静脉用糖皮质激素相比, ICS在气道中的作用时间更长, 且可以直接被输送到肺部, 不良反应少^[38]。研究表明, ICS的抗炎作用对COPD与动脉粥样硬化共病患者有用, 其可以减轻患者全身炎症反应, 改善患者心功能, 减轻患者心室功能障碍^[39]。研究表明, 对于无冠心病史的COPD患者, ICS具有预防冠心病的作用^[40]。此外, ICS还可以延缓COPD患者细胞衰老, 对氧化应激引起的DNA损伤、细胞衰老和凋亡具有保护作用^[41]。

4.1.2 他汀类药物 他汀类药物具有降低胆固醇、抗炎、调节免疫和抗氧化等作用, 研究证实其对冠心病患者具有治疗作用^[38]。有观察性研究表明, 他汀类药物可以减少COPD患者急性加重次数及降低其死亡率^[42-43], 其中氟伐他汀和阿托伐他汀在降低COPD患者C反应蛋白水平和pH值方面效果更好^[44]。他汀类药物的治疗作用可能是其影响冠心病潜在的风险因素, 而不是COPD疾病过程, 其具体机制还需要更多研究探究。

4.1.3 ACEI和ARB ACEI和ARB是治疗心血管疾病的常见药物。研究表明, ACEI和ARB对COPD患者也具有潜在益处^[45]。动脉粥样硬化的多种族研究(MESA)表明, ACEI或ARB可减慢肺气肿进展, 且该效果在前吸烟者中更明显^[46], TEJWANI等^[47]研究结果也证实了该结论。有动物实验表

明，阻断血管紧张素Ⅱ、降低转化生长因子 β 也可减少肺气肿的发生^[48]，这可能是ACEI、ARB治疗COPD与冠心病共病的新靶点。

4.1.4 β_1 -受体阻滞剂 研究表明，COPD与冠心病共病患者使用心脏选择性 β_1 -受体阻滞剂是安全的，该药物的益处超过其导致COPD加重的风险^[49]。BELENKOV等^[50]研究表明，心脏选择性 β_1 -受体阻滞剂可降低COPD与冠心病共病患者AECOPD发作风险，且对于心肌梗死后的COPD患者，在住院期间和出院后继续采用心脏选择性 β_1 -受体阻滞剂治疗的效果似乎优于采用非选择性 β_1 -受体阻滞剂治疗^[51]。但肺部也有 β_1 -受体，非选择性 β_1 -受体阻滞剂可能引起支气管痉挛，故有学者指出使用非选择性 β_1 -受体阻滞剂时应适当监测其不良反应^[52]。此外，对于AECOPD合并缺血性心脏病、心力衰竭或高血压患者，在其急性加重期或氧依赖时应谨慎使用心脏选择性 β_1 -受体阻滞剂^[52]。整体而言， β_1 -受体阻滞剂在COPD与冠心病共病患者中的应用不足^[53]。而如何在COPD与冠心病共病患者中精准应用心脏选择性 β_1 -受体阻滞剂尚需更多研究探索。

4.1.5 β_2 -受体激动剂 β_2 -受体激动剂作为COPD患者最常用的支气管扩张剂，包括短效 β_2 -受体激动剂（如沙丁胺醇）和长效 β_2 -受体激动剂（如沙美特罗和福莫特罗）^[54]。近年来COPD患者使用 β_2 -受体激动剂后心血管事件发生情况引起了研究者的关注。有研究报道，COPD患者吸入沙丁胺醇后可继发急性心肌梗死，其发生机制可能为沙丁胺醇激活了心脏和外周 β_2 -肾上腺素能受体，诱导正性变时效应和正性肌力效应，进而导致冠状动脉血流重新分配^[55]。SALPETER等^[56]研究表明，与安慰剂相比， β_2 -受体激动剂可导致COPD患者心率增快、钾浓度降低及冠心病发生风险升高。但也有研究表明，长效、短效 β_2 -受体激动剂均不会增加COPD患者心血管事件发生风险，长效、短效 β_2 -受体激动剂联合治疗可减轻患者过度通气，改善患者心功能，甚至可能降低心血管事件发生风险^[57]。新的 β_2 -受体激动剂（如Abediterol®）可通过延长 β_2 -受体激动剂的 $t_{1/2}$ 及与其他受体〔如M3受体和磷酸二酯酶4（phosphodiesterase 4, PDE4）〕结合，进而强化COPD治疗效果、降低心血管事件发生风险^[58]。

4.2 介入治疗 COPD与冠心病共病患者是行冠状动脉旁路移植术（coronary artery bypass grafting, CABG）或经皮冠状动脉介入治疗（percutaneous coronary intervention, PCI）的高危人群^[59]。COPD是冠心病患者PCI及CABG后死亡率升高的独立危险因素^[60-61]。研究表明，COPD与冠心病共病患者行PCI后院内、长期心肌梗死发生率和死亡率明显高于单纯冠心病患者^[62]，其术后主要不良心血管事件相对风险是单纯冠心病患者的1.36倍^[63]。但有研究表明，行非体外循环CABG治疗的COPD和非COPD患者死亡率相似^[64]，且肺功能较差的患者中选择比较“保守”的非体外循环CABG治疗者的预后优于选择CABG治疗者^[65]。综上，COPD与冠心病共病患者行介入治疗后预后可能较差，故有手术指征时应谨慎评估患者的肺功能及感染发生风险。

4.3 康复训练 近年来，康复训练逐渐成为COPD与冠心病共病患者的治疗热点。研究表明，肺康复训练可降低COPD患者死亡率，缩短其住院天数，减少其再入院次数；且肺康复训练对患者生活质量运动能力的改善作用似乎至少维持了12个月^[66]。CHEN等^[67]研究表明，肺康复训练可有效改善行CABG的COPD和非COPD患者的呼吸功能和肺功能。在中国，增强型体外反搏（enhanced external counterpulsation, EECP）可广泛用于冠心病的治疗，此外其还能有效提高COPD患者的运动耐力^[68]。

5 小结与展望

综上所述，COPD与冠心病有很多相同的危险因素，如吸烟、衰老和久坐，其中吸烟的危害最大；缺氧、肺过度膨胀、全身炎症反应、血脂异常及遗传是COPD与冠心病共病的发病机制，药物治疗、介入治疗、康复训练是COPD与冠心病共病的主要治疗方法，但目前还没有相关指南。未来随着人口老龄化进程加剧，COPD与冠心病共病患者将不断增加，故规范该共病的诊治将成为临床实践的主要问题之一。

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